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**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No. **#7**  
112857-221 **11-22-02**  
**9M**

In Re Application Of: **Tomoyuki Nakano et al.**



Serial No.  
**09/846,522**

Filing Date  
**April 30, 2001**

Examiner

Group Art Unit

Invention: **AUTHENTICATION SYSTEM, AUTHENTICATION METHOD, AUTHENTICATION APPARATUS  
AUTHENTICATION METHOD THEREFOR**

**RECEIVED**

**NOV 08 2002**

**Technology Center 2100**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement herebelow:

**Statement Under 37 CFR 1.97(e)(1)**

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

*Signature*

Dated: **October 30, 2002**

**William E. Vaughan (Reg. No. 39,056)  
Bell Boyd & Lloyd LLC  
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Chicago, Illinois 60690-1135**

**Certificate of Mailing by First Class Mail**

I certify that this document is being deposited on  
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20231.

*Signature of Person Mailing Correspondence*

**Robert Buccieri**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Tomoyuki Nakano et al.  
Appl. No.: 09/846,522  
Filed: April 30, 2001  
Title: AUTHENTICATION SYSTEM, AUTHENTICATION METHOD,  
AUTHENTICATION APPARATUS AND AUTHENTICATION METHOD  
THEREFOR  
Docket No.: 112857-221

Assistant Commissioner for Patents  
Washington, DC 20231

INFORMATION DISCLOSURE STATEMENT

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Technology Center 2100

Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 37 C.F.R. 1.97, and 37 C.F.R. 1.98, Applicants request that a citation and examination of the references cited below, and on the attached PTO-1449 form, copies of which are enclosed, be made during the course of examination of the above-identified application for United States patent.

U.S. PATENT DOCUMENTS

| <u>Document No.</u> | <u>Date</u> | <u>Inventor</u> |
|---------------------|-------------|-----------------|
| 5,602,918           | 2-11-97     | Chen et al.     |

FOREIGN PATENT DOCUMENTS

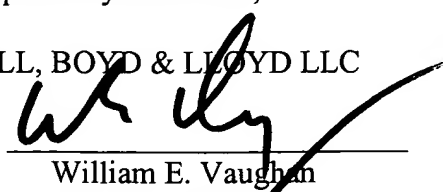
| <u>Document No.</u> | <u>Date</u> | <u>Country</u> |
|---------------------|-------------|----------------|
| EP 0 725 512        | 8-7-96      | European       |
| GB 2 318 486        | 4-22-98     | UK             |
| WO 98/40982         | 9-17-98     | PCT            |

Applicants look forward to early and favorable consideration of this matter.

Respectfully submitted,

BELL, BOYD & LLOYD LLC

BY

  
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|--|-------------------------------|-------------------------------|
| <p align="center"><b>INFORMATION DISCLOSURE CITATION<br/>IN AN APPLICATION</b></p> <p align="center">(Use several sheets if necessary)</p> <p align="center">PTO Form 1449</p> | Atty Docket No.<br>112857-221 | Application No.<br>09/846,522 |
|  | Applicant<br>Nakano et al.    |                               |
|  | Filing Date<br>4-30-01        | Group                         |

## U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

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**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

| Examiner's Initials |  | OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.) |
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| <b>Examiner:</b>   | <b>Date Considered:</b> |
| <p>*Examiner: Initial if citation considered, whether or not citation is in conformance with PEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p> |                         |